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Refractive Index Scaling in Hollow Core Photonic Crystal Fiber

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Abstract:In this paper, simulation study of the frequency shift of photonic bandgaps due to refractive index scaling using liquids filled hollow-core photonic crystal fibers is presented. Different liquids (distilled water, n-hexane, methanol, ethanol and acetone) are used to fill the cladding of 2 types of hollow core photonic crystal fibers (HC19-1060, HC7-1060). These liquids are used to change the effective index scaling and index contrast of the cladding. The effect of increasing temperature of the liquid (20-100 $\rm{^0C}$ for water and 20-70 $\rm{^0C}$ for other liquids) infiltrated hollow core fiber on the bandgap width and transmission properties has been computed. The maximum photonic bandgap width at 0.0243 has appeared with filling HC7-1060 PCF with methanol at 70 $\rm{^0C}$ at a corresponding refractive index 1.3057. Photonic bandgap structure for both TE and TM modes of a 2D photonic crystal with triangular lattice of air holes embedded in silica background material was studied using Plane Wave Expansion (PWE) method to find the width of photonic bandgap finger in photonic crystal states diagram.

Introduction

 In the past few years, photonic crystal fibers (PCFs) have attracted considerable interest as an alternative to conventional optical fibers in many applications [1]. PCFs are a class of single-material (typically silica). Optical fibers consist of a central defect region surrounded by a regular pattern such as hexagonal array of wavelength-scale air holes running along the entire fiber length [2,3]. In such fibers, light guidance is provided either by modified total internal reflection [4] or by the photonic bandgap effect [5]. The unusual optical properties of these photonic crystal fibers include single-mode guiding at all optical wavelengths [4]. Zero group-velocity dispersion at wavelengths around 800 nm [3,6], and the possibility of designing fibers with an almost wavelength-independent dispersion[3,7].

Control radiative properties of materials by introducing a random refractive index variation, were theoretically proposed by Yablonovitch and John almost simultaneously in 1987. Yablonovitch suggested that photonic crystals could change the properties of the radiation field in such a way that there would be no electromagnetic modes available in the dielectric structure. It has been predicted and experimentally verified that the removal of dielectric materials in a PBG structure will generate a single mode in the gap, while addition of extramaterials will give rise to several modes. [8]

Arguably the most general numerical methods for electromagnetism are those that simulate the full time-dependent Maxwell equations, propagation the fields in both space and time, such time-domain methods can easily support strongly nonlinear or active (time-varying) media[9].

The most common technique for time-domain simulations is the finite-difference time-domain method, or FDTD. As the name implies, FDTD divides space and time into a grid (usually uniform) of discrete points and approximates the derivatives $(\Delta x \text{ and } \delta/\delta t)$ of the Maxwell equations by finite differences [9, 10]. One of the most studied and reliable methods are the plane wave expansion method. It was used in some of the earliest studies of photonic crystals [11-14] and is simple enough to be easily implemented.

Plane wave method represents unknown functions as a series expansion in complete basis set of smooth functions, truncating the series to have a finite number of terms.

Archetypically, a Fourier series is used, where the terms in the Fourier series are plane waves [9].

In this work, we calculate the cladding's photonic bandgap structure and photonic crystal states for the hollow core photonic crystal fibers. The cladding of these fibers can be considered as a 2D hexagonal array of air holes embedded in silica material. We consider propagation in the plane of periodicity.

Photonic Bandgap Structure:

 Plane wave Expansion Method allows the computing of eigenfrequencies for a photonic crystal to any prescribed accuracy, commensurate with computing time [16], is based on the Fourier expansion of the internal field and the dielectric function [17]. The Fourier transform of electric and magnetic field is as follow [18].

$$
-\left|k+G\right|^2 E_G + \left(\frac{w}{c}\right)^2 \sum \mathcal{E}(G - G')E_{G'} = 0
$$
\n
$$
-(k+G)\sum_{G'} (G - G')\eta(G - G')H_{G'} +
$$
\n
$$
\left(\frac{w}{c}\right)^2 H_G + \left(\frac{w}{c}\right)^2 H_G = 0
$$
\n(2)

The cladding of HC-PCF is 2D triangular lattice of air holes embedded in a dielectric background; the dielectric function will be periodic only in the *x-y* plane (uniform in the *z* direction).

A triangular lattice and its reciprocal lattice are depicted in Fig. (1) [19]. The triangular lattice in Fig. (1) has b1 and b2 as its basis vectors, where and The corresponding reciprocal lattice vectors are $G1 = (2\pi / a)[e_x^{\hat{i}} - (1/\sqrt{3})e_y^{\hat{j}}]$ and $G2 = (4\pi / \sqrt{3}a)e_y^{\hat{j}}$ also depicted in the same figure. The first BZ is a hexagon; the point at the center of the zone is called the Γ point; two other important points are shown, the K point $(2\pi\sqrt{3}a)e_y^{\wedge}$ and the M point $b1 = ae^x$ $b2 = (a / 2) e_x^{\hat{i}} + (\sqrt{3} a / 2) e_y^{\hat{j}}$ $(2\pi / \sqrt{3}a)[(1/\sqrt{3})e_x^{\hat{}} + e_y^{\hat{}}]$

Triangular lattice Reciprocal lattice

Fig. (1): A triangular lattice and Brilloun zone(BZ)**.**

The band structure of HC19-1060 are shown in Fig. (2), Where $n_a=1$ and $n_b=1.45$, air filling fraction $f = 90\%$, r/ $\Lambda = 0.04474$, n_a and n_b are the refractive index of air and silica respectively. r/Λ is the hole radius per lattice constant in rad/Sec. The width of TE- bandgap is equal to 0.036, and the upper and lower normalized

Fig. (2): Photonic band structure for HC19- 1060 PC fiber**.**

This type and other one of PC dispersion parameters are summarized in Table (1).The

Fig. (3): Photonic band structure for HC7- 1060 PC fiber**.**

same structures are plotted for (HC7-1060) Photonic crystal fiber as shown in Fig.3 .

Table (1): Photonic Bandgap width for different types of HC-PC Fibers with $n_a=1$ and $n_b=1.45$.

| HC –PC Fibers r/Λ Types | hole per constant | radius Frequency Band frequency Band Bandgap Width lattice $edge (rad/sec.)$ edge $(rad/sec.)$ | Low normalized High normalized Photonic | (rad/sec.) |
|---|-------------------------|---|---|---------------------|
| HC19-1060 | 0.4474 | 0.5955 | 0.6315 | 0.036 |
| HC7-1060 | 0.4069 | 0.5705 | 0.7259 | 0.1554 |

Photonic bandgap shift using methanol infiltrated photonic crystal fibers:

After filling these types with liquids have refractive index less than silica refractive index , then the bandgap become smaller than the case before filling, where filling HC19-1060 with methanol at 20 $^{\circ}$ C and refractive index n= 1.3247, decreases the width of the TE- bandgap from 0.036 in the case before filling to 0.012 in the case after filling. Photonic band structure after methanol filling HC19-1060 photonic crystal fiber is shown in Fig.(4).Increasing temperature of methanol infiltrated HC19-1060 PC fiber decreases the refractive index of methanol and increases the width of the TEphotonic bandgap of the photonic band structure, the results are shown in Table (2) and Figs. (5,6). There is slight decrease in high and low normalized frequency bandgap edge, the effect of heating appears on high normalized frequency band edge values more than low frequency band edge also this is presented in Fig.(5), There is rapid decay in photonic

bandgap width with increasing methanol refractive index as shown in Fig.(6). Also from Table (2) the width of the gap increases with temperature and the maximum width is (0.0143) at temperature $(70 \degree C)$ where relative refractive index is (1.3057).

Fig. (4): Photonic band structure after methanol filling HC19-1060 PC fiber, refractive index is $n_{\text{methanol}} = 1.3247$, at 20° C.

| Temperature(${}^{\circ}$ C) | methanol | Low normalized | High | Photonic |
|------------------------------|-------------------------------|----------------|----------------|---------------------|
| | Refractive | Frequency Band | normalized | Bandgap Width |
| | index (n_{methanol}) | edge | frequency Band | (rad/sec.) |
| | | | edge | |
| 20 | 1.3247 | 0.4266 | 0.4386 | 0.012 |
| 30 | 1.3209 | 0.4276 | 0.44 | 0.0124 |
| 40 | 1.3171 | 0.4285 | 0.4414 | 0.0129 |
| 50 | 1.3133 | 0.4295 | 0.4428 | 0.0133 |
| 60 | 1.3095 | 0.4304 | 0.4443 | 0.0139 |
| 70 | 1.3057 | 0.4314 | 0.4457 | 0.0143 |

Table (2): Photonic Bandgap width of methanol infiltrated HC19-1060 PC fiber as a function to temperature**.**

Table (3): Photonic Bandgap width of methanol infiltrated HC7-1060 PC fiber as a function to temperature**.**

| Temperature(${}^{\circ}C$) | methanol Refractive index (n_{methanol}) | Low normalized Frequency Band edge | High normalized frequency Band edge | Photonic Bandgap Width (rad/sec.) |
|------------------------------|---|--|--|---|
| 20 | 1.3247 | 0.4235 | 0.4436 | 0.0201 |
| 30 | 1.3209 | 0.4244 | 0.4453 | 0.0209 |
| 40 | 1.3171 | 0.4252 | 0.4469 | 0.0217 |
| 50 | 1.3133 | 0.426 | 0.4486 | 0.0226 |
| 60 | 1.3095 | 0.4269 | 0.4503 | 0.0234 |
| 70 | 1.3057 | 0.4277 | 0.452 | 0.0243 |

Fig.(5): HC19-1060 PC fiber bandgap edges as a function to refractive index of the infiltrated methanol with increasing temperature**.**

Fig. (6): Photonic bandgap width as a function to methanol refractive index with increasing temperature of methanol infiltrated HC19-1060 PC fiber**.**

Photonic bandgap shift using water infiltrated photonic crystal fibers:

The effect of infiltration of HC-PCFs with different liquids having refractive indices greater than air and less than silica to ensure bandgap mechanism on bandgap structure is considered. In this section we calculate the photonic bandgap shift and photonic crystal states by filling the set HC-PC fibers under study with distilled water. Fig. (7) illustrate photonic band for HC19-1060 PC fiber at 20 ^oC.The results of the photonic bandgap shift with increasing temperature of water infiltrated HC19-1060 PC and HC7-1060 PC fibers shown in Tables (4 and 5) respectively.

Fig. (7): Photonic band structure after water filling HC19-1060 PC fiber, refractive index is $n_{water} = 1.3277$, at 20° C.

| Temperature(${}^{\circ}C$) | Water Refractive index (n_{water}) | Low normalized Frequency Band edge | High normalized frequency Band edge | Photonic Bandgap Width (rad/sec.) |
|------------------------------|--|--|--|--|
| 20 | 1.3277 | 0.4259 | 0.4375 | 0.0116 |
| 30 | 1.32736 | 0.426 | 0.4376 | 0.0116 |
| 40 | 1.32728 | 0.426 | 0.4376 | 0.0116 |
| 50 | 1.327208 | 0.426 | 0.4377 | 0.0117 |
| 60 | 1.32713 | 0.426 | 0.4377 | 0.0117 |
| 70 | 1.327054 | 0.426 | 0.4377 | 0.0117 |
| 80 | 1.326978 | 0.4261 | 0.4378 | 0.0117 |
| 90 | 1.32690 | 0.4261 | 0.4378 | 0.0117 |
| 100 | 1.32682 | 0.4261 | 0.4378 | 0.0117 |

Table (5): Photonic Bandgap width of water infiltrated HC7-1060 PC fiber as a function to temperature.

Photonic bandgap shift using n-hexane infiltrated photonic crystal fibers.

 In this section we calculate the photonic bandgap shift by filling all HC-PC fibers under study with n-hexane. Also in this section we study the photonic band structure with different temperatures of n-hexane. Fig. (8) illustrate photonic band for HC19-1060 PC fiber at 20 C° C.The results of the photonic bandgap shift with increasing temperature of n-hexane infiltrated HC19-1060 PC and HC17-1060 PC fibers are shown in Tables (6 and 7)

respectively.
 Fig. (8): Photonic band structure after hexane filling
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 Fig. (8): Photonic band structure after hexane filling
 Fig. (8): Photonic band structu HC19-1060 PC n_{hexane}=1.3734, at 20 °C.

| Temperature(${}^{\circ}C$) | n-hexane Refractive index (n_{hexane}) | Low normalized Frequency Band edge | High normalized frequency Band edge | Photonic Bandgap Width (rad/sec.) |
|------------------------------|---|--|--|---|
| 20 | 1.3734 | 0.415 | 0.4216 | 0.0066 |
| 30 | 1.3706 | 0.4157 | 0.4226 | 0.0069 |
| 40 | 1.3678 | 0.4163 | 0.4235 | 0.0072 |
| 50 | 1.365 | 0.417 | 0.4244 | 0.0074 |
| 60 | 1.3622 | 0.4177 | 0.4254 | 0.0077 |
| 70 | 1.3594 | 0.4183 | 0.4263 | 0.008 |

Table (7): Photonic Bandgap width of n-hexane infiltrated HC7-1060 PC fiber as a function to temperature**.**

Photonic bandgap shift using ethanol infiltrated photonic crystal fibers:

In this section we calculate the photonic bandgap shift by filling all HC-PC fibers under the study with ethanol. Also in this section we study the photonic band structure with different temperature of ethanol .Fig. (9) illustrate photonic band for HC19-1060 PC fiber at 20° C. The results of the photonic bandgap shift with increasing temperature of ethanol infiltrated HC19-1060 PC fiber shown in Table (8); increasing ethanol temperature from $(20\n-70^oC)$ decreases the refractive index from (1.3568- 1.3368). Increasing ethanol temperature from $20-70$ ^oC increases photonic bandgap width from 0.0083 to 0.0105.

Fig. (9): Photonic band structure after ethanol filling HC19-1060 PC fiber, refractive index is n_{ethanol} $=1.3568$, at 20 °C.

| Temperature(${}^{\circ}C$) | ethanol Refractive index (n_{ethanol}) | Low normalized Frequency Band edge | High normalized frequency Band edge | Photonic Bandgap Width (rad/sec.) |
|------------------------------|---|---|--|--|
| 20 | 1.3568 | 0.4189 | 0.4272 | 0.0083 |
| 30 | 1.3528 | 0.4199 | 0.4286 | 0.0087 |
| 40 50 | 1.3488 1.3448 | 0.4208 0.4218 | 0.43 0.4314 | 0.0092 0.0096 |
| 60 | 1.3408 | 0.4227 | 0.4328 | 0.0101 |
| 70 | 1.3368 | 0.4237 | 0.4342 | 0.0105 |

Table (8): Photonic Bandgap width of ethanol infiltrated HC19-1060 PC fiber as a function to temperature**.**

The results of the photonic bandgap shift with increasing temperature of ethanol infiltrated HC17- 1060 PC fiber shown in Table (9).

Table (9): Photonic Bandgap width of ethanol infiltrated HC7-1060 PC fiber as a function to temperature*.*

| Temperature(${}^{\circ}C$) | ethanol | Low normalized | High | Photonic |
|------------------------------|------------------------------|----------------|----------------|---------------------|
| | Refractive | Frequency Band | normalized | Bandgap Width |
| | index (n_{ethanol}) | edge | frequency Band | (rad/sec.) |
| | | | edge | |
| 20 | 1.3568 | 0.4167 | 0.4305 | 0.0138 |
| 30 | 1.3528 | 0.4175 | 0.432 | 0.0145 |
| 40 | 1.3488 | 0.4183 | 0.4336 | 0.0153 |
| 50 | 1.3448 | 0.4192 | 0.4352 | 0.016 |
| 60 | 1.3408 | 0.42 | 0.4369 | 0.0169 |
| 70 | 1.3368 | 0.4209 | 0.4385 | 0.0176 |

Photonic bandgap shift using acetone infiltrated photonic crystal fibers:

 In this section we calculate the photonic bandgap shift by filling all HC-PC fibers under the study with acetone. Also in this section we study the photonic band structure with different temperature of acetone.where Fig. (10) illustrate photonic band for HC19-1060 PC fiber at 20 °C. **Fig. (10):** Photonic band structure after acetone

filling HC19-1060PC fiber, refractive index is n_{acetone} $=1.3540$, at 20 °C.

The results of the photonic bandgap shift with increasing temperature of acetone infiltrated HC19-1060 PC fiber shown in Table (10); increasing acetone temperature from $(20\n-70^oC)$ decreases the refractive index from (1.3540- 1.328). Increasing acetone temperature from 20- 70° C increases photonic bandgap width from 0.009 to 0.0121.

Table (10): Photonic Bandgap width of acetone infiltrated HC19-1060 PC fiber as a function to temperature**.**

| Temperature(${}^{\circ}C$) | Acetone Refractive index (n_{acetone}) | Low normalized Frequency Band edge | High normalized frequency Band edge | Photonic Bandgap Width (rad/sec.) |
|------------------------------|---|---|--|---|
| 20 | 1.3540 | 0.4194 | 0.4284 | 0.009 |
| 30 | 1.3488 | 0.4207 | 0.4302 | 0.0095 |
| 40 | 1.3436 | 0.4219 | 0.432 | 0.0101 |
| 50 | 1.3384 | 0.4231 | 0.4339 | 0.0108 |
| 60 | 1.3332 | 0.4244 | 0.4358 | 0.0114 |
| 70 | 1.328 | 0.4256 | 0.4377 | 0.0121 |

The results of the photonic bandgap shift with increasing temperature of acetone infiltrated HC17- 1060 PC fiber shown in Table (11).

| Temperature(${}^{\circ}C$) | Acetone Refractive index (n_{acetone}) | Low normalized Frequency Band edge | High normalized frequency Band edge | Photonic Bandgap Width (rad/sec.) |
|------------------------------|---|--|--|---|
| 20 | 1.3540 | 0.4172 | 0.4316 | 0.0144 |
| 30 | 1.3488 | 0.4183 | 0.4336 | 0.0153 |
| 40 | 1.3436 | 0.4194 | 0.4357 | 0.0163 |
| 50 | 1.3384 | 0.4206 | 0.4379 | 0.0173 |
| 60 | 1.3332 | 0.4217 | 0.44 | 0.0183 |
| 70 | 1.328 | 0.4228 | 0.4422 | 0.0194 |

Table (11): Photonic Bandgap width of acetone infiltrated HC7-1060 PC fiber as a function to temperature**.**

Refractive Index Scaling

 Filling HC-PC fiber with liquids has refractive index less than silica refractive index $n_{silica}=1.45$, varied the low index medium. The result confirms a simple scaling law, where frequency shift of photonic bandgap demonstrated due to refractive index scaling [20]. The wave equation for the scalar field distribution in a photonic bandgap fiber is given by:

$$
\nabla^2_T \Psi(x, y) + (k^2 n_0^2 - \beta^2) \Psi(x, y) = 0 \tag{3}
$$

Where k is the free-space wavenumber, n_0 is the transverse distribution of the refractive index of the structure, $β$ is the propagation constant of the mode and ∇ _T the transverse Laplacian operator. The scalar equation is strictly valid for very small index contrast; however it can still approximately describe propagation in a silica/air HC-PCF [21]. In the scalar case, for a photonic bandgap structure consisting of a material with high index n_1 and a material with low index n_2 with pitch Λ, it was found [21,22] that the photonic states scale so that the quantities:

$$
v^{2} = k^{2} \Lambda^{2} (n_{1}^{2} - n_{2}^{2})
$$
\n
$$
w^{2} = \Lambda^{2} (\beta^{2} - k^{2} n_{2}^{2})
$$
\n(4)\n(5)

Remain invariant with any change of the parameters k, Λ , n_1 and n_2 . Where v^2 is the frequency parameter and w^2 eigenvalue, n_1 and $n₂$ are the indices of the high and low index materials respectively.

These equations yield useful scaling laws that can describe the shift in frequency of the photonic states of the fiber when the index contrast of the latter is altered. When the low index material n_2 of the PCF is varied in Eqs.(4,5), while the high index n_1 remains unchanged, so that the initial index contrast $N_0=n_1/n_2$ becomes N, any bandgaps originally at a wavelength λ_0 will shift to a new wavelength λ given by [20,22].

$$
\lambda = \lambda_0 \left[\frac{1 - N^{-2}}{1 - N_0^{-2}} \right]^{1/2}
$$
 (6)

This scaling law is also particularly relevant to any application that requires filling the entire air region (core and cladding) of HC-PCF with gases or liquids [6]. The states of photonic crystal of HC-1060 are presented in Fig. (11).

Fig. (11): The states of photonic crystal, on normalized axes V² against eigenvalue W² for HC19-1060**.**

Photonic Bandgap finger in the states of HC-1060 photonic crystal fiber after filling with methanol will shifted from $(1.0400-1.1100)$ µm the wavelength range between bandgap finger to (0.5700 – 0.5850) µm after filling with methanol depending on the scaling law, as shown in Fig.(12).

Conclusions

We have calculated the photonic band structure and photonic crystal states for the cladding of a hollow core crystal fibers. We show that the width of the photonic bandgap decreases with filling the air holes with liquids. The width of

Fig. (12): The states of photonic crystal with water filling at 20 $\,^0C$, on normalized axes V² against eigenvalue W² for HC19-1060.

the photonic bandgap with infiltrated liquid at high temperature is larger than the bandgap of the same fiber with the same liquid but at room temperature. Maximum photonic bandgap width at (0.0243) has appeared with filling HC7-1060 PCF with methanol at 70 $\mathrm{^0C}$ and refractive index (1.3057).

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عجذانهبدي انجُبثً دانٍب حسٍٍ

معهد الليزر للدراسات العليا ، جامعة بغداد ، بغداد ، العراق

ا**لخلاصة** : تم في هذا البحث دراسة محاكاة لحساب مقدار الازاحة الترددية لليف بلوري فوتوني نتيجة تغير مؤشر معامل الانكسار من خلال ارشاح سوائل خلاله. تم ارشاح الميثانول ليملئ القلب والغطاء لليف الفوتونـي البلوري نوع -HC19 .1060 ان ارشاح الميثانول يؤدي الى نغير المؤشر المعامل الفعال مع الغلاف ان نأثير زيادة درّجة الحرّارة على عرض فجوة النطّاق وعلى خاصّية النُفانّية الصوئية قد تم حسابها .لقد وجد اُن اعلى عرض للنطاق الفوتونى هو 0.0243 عُند ارتشاح القلب والغطاء بالميثانول عُند درجة حرارة 70مئوية بقابلها معامل انكسار 1.3057 .ان تركيب فجوة النطاق الفوتونية بالاتجاهين لبلورة فوتونية ذات بعدين وشبيكة ثلاثية مغروسة بالسيلكا تمت دراستها ايضا بأستخدام طريقة توسع الموجة المستوى لايجاد الشكل الاصبعى لفجوة النطاق الفوتوني في مرتسم البلور ات الفوتونية.